Automatic Inference of Ranking Functions by Abstract Interpretation

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Why? Outline Abstract Interpretation

Proving Program Termination? Why?



It seems that a random bug is affecting a bunch, if not every, 30GB Zunes. Real early this morning, a bunch of Zune 30s just stopped working. No official word from Redmond on this one yet but we might have a gadget Y2K going on here. Fan boards and support forums all have the same mantra saying that at 2:00 AM this morning, the Zune 30s reset on their own and doesn't fully reboot. We're sure Microsoft will get flooded with angry Zune owners as soon as the phone lines open up for the last time in 2008. More as we get it.



Update 2: The solution is ... kind of weak: let your Zune run out of battery and it'll be fixed when you wake up tomorrow and charge it.

Proving Program Termination? Why?



Why? Outline Abstract Interpretation

Outline

ranking functions¹

- functions that strictly <u>decrease</u> at each program step...
- ... and that are <u>bounded</u> from below

• idea: computation of ranking functions by abstract interpretation²

- family of **abstract domains** for program termination³
 - piecewise-defined ranking functions
 - backward invariance analysis
 - sufficient conditions for termination
- instances based on ordinal-valued ranking functions⁴
- instances based on decision trees⁵

¹Floyd - Assigning Meanings to Programs (1967)

²Cousot&Cousot - An Abstract Interpretation Framework for Termination (POPL 2012) ³Urban - The Abstract Domain of Segmented Ranking Functions (SAS 2013)

⁴Urban&Miné - An Abstract Domain to Infer Ordinal-Valued Ranking Functions (ESOP 2014)

⁵Urban&Miné - A Decision Tree Abstract Domain for Proving Conditional Termination (SAS 2014)

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Why? Outline Abstract Interpretation

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Why? Outline Abstract Interpretation

Abstract Interpretation⁶

 $\langle \mathcal{C}, \sqsubseteq_C \rangle$



⁶Cousot&Cousot - Abstract Interpretation: A Unified Lattice Model for Static Analysis of Programs by Construction or Approximation of Fixpoints. (POPL 1977)

Why? Outline Abstract Interpretation

Abstract Interpretation⁶



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Why? Outline Abstract Interpretation

Abstract Interpretation⁶



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 $\langle \mathcal{C}, \sqsubseteq_{C} \rangle \xrightarrow{\gamma(\llbracket P \rrbracket^{\alpha})} \bigvee_{Conclusion} \sum_{Conclusion} \bigvee_{Uniting} \underbrace{Vhy^{2}}_{Uniting} \underbrace{Abstract Interpretation} \langle \mathcal{A}, \sqsubseteq_{A} \rangle$







Trace Semantics Termination Semantics





the termination semantics is **sound** and **complete** to prove the termination of programs



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the termination semantics is **sound** and **complete** to prove the termination of programs

Trace Semantics Termination Semantics

• remark: the termination semantics is not computable!

Example

int : x x := ?while (x > 0) do x := x - 1od



Piecewise-Defined Ranking Functions





- Functions Abstract Domain
- Piecewise-Defined Ranking Functions Abstract Domain

Urban - The Abstract Domain of Segmented Ranking Functions (SAS 2013)





Urban - The Abstract Domain of Segmented Ranking Functions (SAS 2013)





Urban - The Abstract Domain of Segmented Ranking Functions (SAS 2013)





Urban - The Abstract Domain of Segmented Ranking Functions (SAS 2013)

Natural-Valued Ranking Functions Ordinal-Valued Ranking Functions Decision Trees Implementation

Affine Ranking Functions Abstract Domain



	Natural-Valued Ranking Functions
	Ordinal-Valued Ranking Functions
Piecewise-Defined Ranking Functions	Decision Trees
	Implementation

Example

int : x while $1(x \le 10)$ do if 2(x > 4) then 3x := x + 2fi od⁴


























	Natural-Valued Ranking Functions
	Ordinal-Valued Ranking Functions
Piecewise-Defined Ranking Functions	Decision Trees
	Implementation



Theorem (Soundness)

the abstract termination semantics is **sound** to prove the termination of programs Introduction Natural-Valued Ranking Functions Termination Semantics Ordinal-Valued Ranking Functions Piecewise-Defined Ranking Functions Conclusion Implementation

• remark: natural-valued ranking functions are not sufficient!







Urban - The Abstract Domain of Segmented Ranking Functions (SAS 2013)





Urban&Miné - An Abstract Domain to Infer Ordinal-Valued Ranking Functions (ESOP 2014)

Natural-Valued Ranking Functions Ordinal-Valued Ranking Functions Decision Trees Implementation

Ordinal-Valued Ranking Functions Domain



Natural-Valued Ranking Functions Ordinal-Valued Ranking Functions Decision Trees Implementation

Backward Non-Deterministic Assignments

Example							
$[-\infty,+\infty] \;\mapsto\;$	0	≜			$\omega \cdot x_1$	+	<i>x</i> ₂
				₩	$x_1 := ?$		
$[-\infty,+\infty] \;\mapsto\;$	0	≜	?				

Natural-Valued Ranking Functions Ordinal-Valued Ranking Functions Decision Trees Implementation

Backward Non-Deterministic Assignments

Example									
$[-\infty,+\infty] \mapsto$	0	≜		$\omega \cdot x_1$	+	<i>x</i> ₂			
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$[-\infty,+\infty] \mapsto$	0	≜					+	1	

Natural-Valued Ranking Functions Ordinal-Valued Ranking Functions Decision Trees Implementation

Backward Non-Deterministic Assignments

Example									
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Natural-Valued Ranking Functions Ordinal-Valued Ranking Functions Decision Trees Implementation

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Natural-Valued Ranking Functions Ordinal-Valued Ranking Functions Decision Trees Implementation

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Example										
$[-\infty,+\infty] \;\mapsto\;$	0	≙			$\omega \cdot x_1$	+	<i>x</i> ₂			
				₩	$x_1 := ?$					
$[-\infty,+\infty] \mapsto$	0	≜	ω^2			+	<i>x</i> ₂	+	1	

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Decision Trees
Conclusion Implementation

Join

● join: ⊔_A



• join: \sqcup_O

Join

- join: ⊔_A
- join: ⊔₀
 - \sqcup_A in ascending powers of ω

Example $\begin{bmatrix} [-\infty, +\infty] \mapsto o_1 & \triangleq \omega^2 \cdot x_1 + \omega \cdot x_2 + 3 \\ \hline [-\infty, +\infty] \mapsto o_2 & \triangleq \omega^2 \cdot x_1 + \omega \cdot (-x_2) + 4 \\ \hline [-\infty, +\infty] \mapsto o_1 \sqcup_0 o_2 & \triangleq ? \end{bmatrix}$

Join

- join: ⊔_A
- join: ⊔₀
 - \sqcup_A in ascending powers of ω

Example $[-\infty, +\infty] \mapsto o_1 \triangleq \omega^2 \cdot x_1 + \omega \cdot x_2 + 3$ $[-\infty, +\infty] \mapsto o_2 \triangleq \omega^2 \cdot x_1 + \omega \cdot (-x_2) + 4$ $[-\infty, +\infty] \mapsto o_1 \sqcup_0 o_2 \triangleq + 4$

- join: \sqcup_A
- o join: ⊔₀
 - \sqcup_{A} in ascending powers of ω

Example								
$[-\infty,+\infty] \ \mapsto \\$	<i>o</i> 1	≙	$\omega^2 \cdot x_1$		+	ω · x 2	+	3
$[-\infty,+\infty] \;\mapsto\;$	<i>o</i> ₂	≜	$\omega^2 \cdot x_1$		+	$\omega \cdot (-x_2)$	+	4
$[-\infty,+\infty] \mapsto$	<i>o</i> ₁ ⊔ ₀ <i>o</i> ₂	≜		$\omega^2 \cdot 1$	+	ω · 0	+	4
			$\omega \cdot \omega$	$= \omega^2 \cdot 1$	$+ \omega \cdot$	0		

- join: \sqcup_A
- o join: ⊔₀
 - $\bullet\ \sqcup_{\mathsf{A}}$ in ascending powers of ω

Ex	ample								
	$[-\infty, +\infty] \mapsto$	<i>o</i> 1	≙	$\omega^2 \cdot \mathbf{x_1}$		+	$\omega \cdot x_2$	+	3
	$[-\infty, +\infty] \mapsto$	<i>o</i> ₂	≜	$\omega^2 \cdot \mathbf{x_1}$		+	$\omega \cdot (-x_2)$	+	4
	$[-\infty, +\infty] \mapsto$	<i>o</i> ₁ ⊔ ₀ <i>o</i> ₂	≙	$\omega^2 \cdot \mathbf{x_1}$	$\omega^2 \cdot 1$			+	4

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Example							
$[-\infty,+\infty] \;\mapsto\;$	<i>o</i> 1	≙	$\omega^2 \cdot \mathbf{x_1}$	+	$\omega \cdot x_2$	+	3
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$[-\infty,+\infty] \mapsto$	<i>o</i> ₁ ⊔ ₀ <i>o</i> ₂	≜	$\omega^2 \cdot (\mathbf{x_1} + 1)$			+	4

- join: \sqcup_A
- o join: ⊔₀
 - \sqcup_{A} in ascending powers of ω

E	xample							
	$[-\infty,+\infty] \ \mapsto \ \ $	01	≙	$\omega^2 \cdot x_1$	+	$\omega \cdot x_2$	+	3
	$[-\infty,+\infty] \ \mapsto \ $	<i>o</i> ₂	≜	$\omega^2 \cdot x_1$	+	$\omega \cdot (-x_2)$	+	4
	$[-\infty,+\infty] \mapsto$	<i>o</i> ₁ ⊔ ₀ <i>o</i> ₂	≜	$\omega^2 \cdot (x_1+1)$			+	4

	Natural-Valued Ranking Functions
	Ordinal-Valued Ranking Functions
Piecewise-Defined Ranking Functions	Decision Trees
	Implementation



Theorem (Soundness)

the abstract termination semantics is **sound** to prove the termination of programs

Example int : x_1, x_2 while $(x_1 > 0 \land x_2 > 0)$ do if $^{2}(?)$ then ${}^{3}x_{1} := x_{1} - 1$ $^{4}x_{2} := ?$ else ${}^{5}x_{2} := x_{2} - 1$ od⁶

$$f_1(x_1, x_2) = \begin{cases} 1 & x_1 \le 0 \lor x_2 \le 0 \\ \omega \cdot (x_1 - 1) + 7x_1 + 3x_2 - 5 & x_1 > 0 \land x_2 > 0 \end{cases}$$

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Example

$$\begin{array}{lll} & \text{int : } x_1, x_2 \\ \text{while } ^1(x_1 \neq 0 \land x_2 > 0) \ \text{do} & \text{else } / * \ x_1 < 0 \ * \ / \\ & \text{if } ^2(x_1 > 0) \ \text{then} & \text{if } ^7(\ ? \) \ \text{then} \\ & \text{if } ^3(\ ? \) \ \text{then} & 8 \\ & \mathbf{x}_1 := x_1 + 1 \\ & ^4 x_1 := x_1 - 1 & \text{else} \\ & ^5 x_2 := \ ? & 9 \\ & \text{else} & 9 \\ & \mathbf{x}_2 := x_2 - 1 \\ & \text{else} & 10 \\ & x_1 := \ ? \\ & \mathbf{x}_2 := x_2 - 1 & \text{od}^{11} \end{array}$$

$$f_1(x_1,x_2) = egin{cases} \omega^2 + \omega \cdot (x_2-1) - 4x_1 + 9x_2 - 2 & x_1 < 0 \wedge x_2 > 0 \ 1 & x_1 = 0 \lor x_2 \leq 0 \ \omega \cdot (x_1-1) + 9x_1 + 4x_2 - 7 & x_1 > 0 \wedge x_2 > 0 \end{cases}$$

Introduction Natural-Valued Ranking Functions
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Example

$$\begin{array}{ll} \text{int}: x_1, x_2 \\ \text{while} \ ^1(x_1 \neq 0 \land x_2 > 0) \ \text{do} & \text{else} \ / \ast \ x_1 < 0 \ \ast \ / \\ \text{if} \ ^2(x_1 > 0) \ \text{then} & \text{if} \ ^7(\ ? \) \ \text{then} \\ \text{if} \ ^2(x_1 > 0) \ \text{then} & \text{if} \ ^7(\ ? \) \ \text{then} \\ \text{if} \ ^3(\ ? \) \ \text{then} & \text{s}_{x_1} := x_1 + 1 \\ & ^4x_1 := x_1 - 1 & \text{else} \\ & ^5x_2 := \ ? & ^9x_2 := x_2 - 1 \\ \text{else} & ^{10}x_1 := \ ? \\ & ^6x_2 := x_2 - 1 \\ \text{the coefficients and their order are} \\ \text{inferred by the analysis} \\ f_1(x_1, x_2) = \begin{cases} \omega^2 + \omega \cdot (x_2 - 1)' - \mathcal{A}x_1 + 9x_2 - 2 & x_1 < 0 \land x_2 > 0 \\ 1 & x_1 = 0 \lor x_2 \le 0 \\ \omega \cdot (x_1 - 1) + 9x_1 + 4x_2 - 7 & x_1 > 0 \land x_2 > 0 \end{cases}$$

Natural-Valued Ranking Functions Ordinal-Valued Ranking Functions Decision Trees Implementation

Non-Linear Ranking Functions

Example

int : N, x_1 , x_2 $^{1}x_{1} := N$ while $^{2}(x_{1} \geq 0)$ do ${}^{3}x_{2} := N$ while ${}^{4}(x_2 \ge 0)$ do ${}^{5}x_{2} := x_{2} - 1$ od ${}^{7}x_{1} := x_{1} - 1$ od⁸

$$f_1(x_1,x_2,{\sf N}) = egin{cases} 1 & x_1 < 0 \ \omega \cdot (x_1+1) + 6 x_1 + 7 & x_1 \geq 0 \end{cases}$$

Natural-Valued Ranking Functions Ordinal-Valued Ranking Functions Decision Trees Implementation

Non-Linear Ranking Functions

Example

int : N, x_1 , x_2 $^{1}x_{1} := N$ while $^{2}(x_{1} \geq 0)$ do ${}^{3}x_{2} := N$ while ${}^{4}(x_2 \geq 0)$ do ${}^{5}x_{2} := x_{2} - 1$ od ${}^{7}x_{1} := x_{1} - 1$ od⁸

$$f_1(x_1, x_2, N) = \begin{cases} 1 & x_1 < 0\\ \omega \cdot (x_1 + 1) + 6x_1 + 7 & x_1 \ge 0 \end{cases}$$

the loop terminates in a
finite number of iterations

Natural-Valued Ranking Functions Ordinal-Valued Ranking Functions Decision Trees Implementation

• remark: the intervals abstract domain is not sufficient!

Example

int : x, y, rwhile (r > 0) do r := r + xr := r - yod

$$f(x, y, r) = \begin{cases} 1 & r \leq 0\\ 3r + 1 & r > 0 \land x \leq y\\ \text{undefined} & r > 0 \land x \geq y \end{cases}$$

• $\mathcal{V} \triangleq \mathcal{P}(\mathcal{S} \times \mathcal{F})$ when $\mathcal{S} \triangleq$ Octagons/Polyhedra **does not scale**!

Natural-Valued Ranking Functions Ordinal-Valued Ranking Functions Decision Trees Implementation

Decision Tree Abstract Domain



- States Abstract Domain
 - $S \triangleq$ Intervals/Octagons/Polyhedra Abstract Domain
- Functions Abstract Domain
 - $\mathcal{F} \triangleq \mathsf{Natural/Ordinal-Valued}$ Ranking Functions Abstract Domain
- Piecewise-Defined Ranking Functions Abstract Domain

• $\mathcal{T} \triangleq \{\mathsf{LEAF} : f \mid f \in \mathcal{F}\} \cup \{\mathsf{NODE}\{s\} : t_1, t_2 \mid s \in \mathcal{S} \land t_1, t_2 \in \mathcal{T}\}$

Urban&Miné - A Decision Tree Abstract Domain for Proving Conditional Termination (SAS 2014)

	Natural-Valued Ranking Functions
	Ordinal-Valued Ranking Functions
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	Implementation

Example

int : x while $(x > 0 \land y > 0)$ do x := x - yod³

we map each point to a function of x and y giving an **upper bound** on the steps before termination

$$x := x - y \begin{pmatrix} 1 & x \le 0 \lor y \le 0 \\ \downarrow x > 0 \land y > 0 \\ 2 & 3 \end{pmatrix}$$

	Natural-Valued Ranking Functions
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Example

int : x while $(x > 0 \land y > 0)$ do x := x - yod³















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Theorem (Soundness)

the abstract termination semantics is **sound** to prove the termination of programs
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SV-COMP 2014



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SV-COMP 2014

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Introduction Natural-Valued Ranking Functions Termination Semantics Ordinal-Valued Ranking Functions Piecewise-Defined Ranking Functions Decision Trees Conclusion Implementation

SV-COMP 2014

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Experiments

Benchmark: 87 terminating C programs collected from the literature

Tools:

- FuncTion
- AProVE
- T2
- Ultimate Büchi Automizer

Result:

	Tot	FuncTion	AProVE	T2	Ultimate	Time	Timeouts
FuncTion	51	_	8	8	3	6s	5
AProVE	60	17	—	7	2	35s	19
T2	73	30	20	-	3	2s	0
Ultimate	79	31	21	9	-	9s	1

Conclusions

- family of abstract domains for program termination
 - piecewise-defined ranking functions
 - sufficient preconditions for termination
- instances based on ordinal-valued functions
 - lexicographic orders automatically inferred by the analysis
 - analysis not limited to programs with linear ranking functions
- instances based on decision trees

Future Work

more abstract domains

- non-linear ranking functions
- better widening
- fair termination
- other liveness properties

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